

# Exploring window selection strategies for two-level binary image operator design

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## 1. Introduction

Statistical learning is a promising approach for designing image operators, since it avoids the trial-and-error process usually involved in manual design. This work addresses the design of translation invariant and locally defined binary image operators, which are characterized by a Boolean function over variables defined by an image window. In the supervised learning setting adopted here, operators are specified by a training sample of (*input, output*) image pairs. Window selection plays a critical role in estimating the joint distribution of sample images: while a small window will reduce operator performance, a too large window might compromise estimation precision. To deal with this problem, a two-level design approach was recently proposed [2] which is able to improve operator performance considerably. In this new approach, outputs from several operators (defined on diverse sub-windows) are combined in a second level-operator. Still, the selection of sub-windows remains a task for the experimenter and is highly dependent on his/her skill level. In this work we analyze novel strategies for automatic window selection for two-level design of operators, based on information theory concepts.

## 2. Binary image operator design

Let  $(\mathbf{S}, \mathbf{I})$  be an (*input, output*) image pair. Following previous work [2, 4], we consider  $(\mathbf{S}, \mathbf{I})$  to be generated by a jointly stationary random process. Given a  $n$ -point window  $W$ , we define  $\mathbf{X} = \{X_1, \dots, X_n\}$  as the random vector of input image pixels seen through  $W$ . Let  $Y$  be the output image pixel associated with  $\mathbf{X}$ . Designing an operator  $\Psi(\mathbf{S}) = \hat{\mathbf{I}}$  involves estimating the probability distribution  $P(\mathbf{X}, Y)$  from the training sample. A generalization algorithm is used then to synthesize a Boolean function  $\psi(\mathbf{X}) = \hat{Y}$  which characterizes the operator. The synthesis is carried out by minimizing a loss function with respect to  $P(\mathbf{X}, Y)$ . For

the two-level design, the above process is repeated  $k$  times for windows  $W_1, \dots, W_k \subset W$ . A new random vector  $\mathbf{X}^* = \{\psi_1(\mathbf{X}), \dots, \psi_k(\mathbf{X})\}$  is formed and a second-level operator is generated by repeating the training process for  $(\mathbf{X}^*, Y)$ .

## 3. Strategies for window decomposition

In [4], a heuristic was proposed for building sub-windows  $W_i \subset W$ , based on Interaction Information [3]. The heuristic works by iteratively clustering elements from  $W$  while trying to control both information loss and redundancy caused by window partitioning. An alternative goal, sought here, is creating windows such that the joint posterior distribution can be factored:

$$P(\mathbf{X}_1, \dots, \mathbf{X}_k, Y) = P(Y) \prod_{i=1}^k P(\mathbf{X}_i | Y) \quad (1)$$

Where  $\mathbf{X}_i$  is the random vector of image pixels seen through  $W_i$ . When equation 1 holds, the joint distribution  $P(\mathbf{X}_1, \dots, \mathbf{X}_k, Y)$  can be estimated by parts. As in [4], we replace estimation of  $P(\mathbf{X}_i)$  with  $P(\pi(\mathbf{X}_i))$  where  $\pi$  is the *parity function* for a vector of binary variables. This simplification is justified as vectors  $\mathbf{X}_i$  can be built in a way that the use of parity functions cause no loss of information about the output <sup>1</sup>. Thus, we will seek windows which better approximate the following condition:

$$P(\pi(\mathbf{X}_1), \dots, \pi(\mathbf{X}_k), Y) = P(Y) \prod_{i=1}^k P(\pi(\mathbf{X}_i) | Y) \quad (2)$$

Now we define an iterative procedure that sequentially reduces the estimation error associated with assuming that condition 2 holds. The procedure employs the *conditional entropy* function [1]:

$$H(X|Y) = - \sum_{Y \in \{0,1\}} \sum_{X \in \{0,1\}} P(X, Y) \log P(X|Y) \quad (3)$$

The input to the procedure is a sample of training pairs  $(\mathbf{X}, Y)$ ,  $\mathbf{X} = \{X_1, \dots, X_n\} \in \{0, 1\}^n$ ,  $Y \in \{0, 1\}$ . Initially, we set  $\mathbf{X}_i \leftarrow X_i$ , for  $i \in 1, \dots, n$ .

<sup>1</sup>Detailed proofs are provided in <http://www.vision.ime.usp.br/~csantos/ismm2007>

Table 1. Mean Absolute Error (MAE) obtained in [4] with Interaction Information criterion and current result with Conditional Entropy criterion.

	MAE
Interaction Information	0.0817
Conditional Entropy	0.1320

At each iteration, we choose  $i, j \in \{1, \dots, n\}$ ,  $i \neq j$ . Define  $\mathbf{X}_0 = \mathbf{X}_i \cup \mathbf{X}_j \setminus \mathbf{X}_i \cap \mathbf{X}_j$  (in an abuse of notation, here we treat  $\mathbf{X}_i$  also as the set containing the pixels which make up the vector  $\mathbf{X}_i$ ). Conditional entropies are then calculated:

$$\begin{aligned} H_0 &= H(\pi(\mathbf{X}_0)|Y) \\ H_i &= H(\pi(\mathbf{X}_i)|Y) \\ H_j &= H(\pi(\mathbf{X}_j)|Y) \end{aligned}$$

If the maximum entropy corresponds to  $H_0$  we just advance to the next iteration. Otherwise we set:  $\lambda = \arg \max_{i,j}(H_i, H_j)$  and update  $\mathbf{X}_\lambda \leftarrow \mathbf{X}_0$ . This process is repeated till a specified number of iterations is reached.

As the conditional entropies  $H(\pi(\mathbf{X}_i)|Y)$  are reduced at each step, the distribution  $P(\pi(\mathbf{X}_1), \dots, \pi(\mathbf{X}_k)|Y)$  is more concentrated than  $P(X_1, \dots, X_k|Y)$ . It follows that, in the space span by parity functions, vectors  $\mathbf{X}$  with the same classification ( $Y = 0$  or  $Y = 1$ ) are represented more *compactly*. This might have interesting consequences for designing operators in the space of parity functions.

## 4. Experimental results

We designed a two-level operator in a texture recognition task, using the above procedure to generate sub-windows  $W_i$  (or equivalently, their associated vectors  $\mathbf{X}_i$ ). Figure 1 shows an example of input, ideal and resulting images obtained. Table 1 compares current results with those obtained with the Interaction Information heuristic for window selection [4], in terms of Mean Absolute Error (MAE). In this dataset Interaction Information provides considerably better results. Further experiments are necessary to assess the relation between these two methods.

## 5. Concluding remarks

The current work extends a line of investigation started in [4] about information theoretic methods for window selection. A new criterion was proposed,

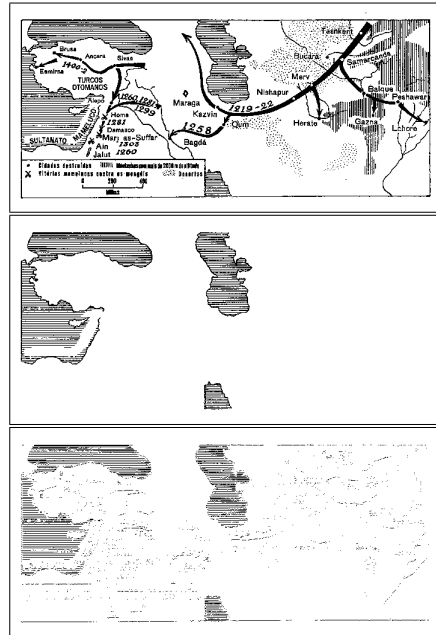


Figure 1. Result of texture recognition. Test, ideal and two-level operator result.

which minimizes the error involved in assuming a factorization of the posterior probability of image pixels. While the results obtained are inferior to the previous heuristic proposed in [4], the new method has interesting theoretical characteristics of its own (as stated in section 3) which justifies further investigation.

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